JC17 Rec'd PCT/PTO 09 JUN 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

To Be Assigned H. Nakase et al.

Applicant:

Herewith

Filed:

nerewith

Title: TC/A.U.: Examiner: OPTICAL DISC DEVICE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the references listed on the 1449 form is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following references are not in the English language:

- 1) JP 2002-74920
- 2) JP 3-69042
- 3) JP 10-241344

References 1) to 3) include English language abstracts.

References 1) to 3) were cited in a search report by a foreign Patent Office in a patent application corresponding to the above-identified patent application. A copy of this search report, which indicates the degree of relevance found by the foreign office, is transmitted herewith. A MPEP 609 IIIA(3), second paragraph.

ARGM-114US

10/538 182 JC17 Rec'd PCT/PTO 09 JUN 2005

This Information Disclosure Statement is being filed concurrently with the abovereferenced application.

Respectfully submitted,

Jack J. Jankovitz, Res Attorney for Applicants

JJJ/dlm

Enclosures: PTO Form 1449

(3) References Search Report

Dated: June 9, 2005

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

EXPRESS MAIL

Mailing Label Number:

EV 396088217 US

Date of Deposit:

June 9, 2005

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kathleen Libby

DLM_I:\ARGM\114US\IDS01.DOC

Substitute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known			
Application Number	To Be Assigned AU 1538186		
Filing Date	Herewith		
First Named Inventor	H. Nakase et al.		
Art Unit			
Examiner Name			
Attorney Docket No.	ARGM-114US		

	U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No.1	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ^{2 (if known)}			
		US-			·
		US-			

		FOREIGN PA	TENT DOCUMEN	TS		
	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date (MM-DD-YYYY)	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Examiner Initials*				Applicant of Cited Document		Τ'
		JP 2002-74920 (w/English abstract)	3/15/2002	Aiwa Co Ltd		
		JP 3-69042 (w/English abstract)	3/25/1991	Pioneer Electron Corp		
		JP 10-241344 (w/English abstract)	9/11/1998	Alpine Electron Inc		
	-					
Examiner Signature				Date Considered		

*EXAMINER	: Initial if reference considered,	, whether or not citation is in conformance with MPEP 609	. Draw line through citation if not in conformance and not
considered.	Include copy of this form with	next communication to Applicant.	

¹Applicant's unique citation designation number (optional).

See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

See Kind Codes of USPTO Patent Documents at www.uspto.gog or MPEP 901.04.

Inter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments are the expense of the expense of the form and or support to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

	Substitute for Form 1449B/PTO		Complete if Known
		Application Number	To Be Assigned 10/538183
	INFORMATION DISCLOSURE	Filing Date	Herewith
	STATEMENT BY APPLICANT	First Named Inventor	H. Nakase et al.
	(Use as many sheets as necessary)	Art Unit	
		Examiner Name	
	SHEET 2 of 2	Attorney Docket No.	ARGM-114US

NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²	
		International Search Report corresponding to application no. PCT/JP03/15924 dated January 27, 2004.		
				
	<u> </u>		.—	
Examiner Signature		Date Considered		

*Applicant's unique citation designation number (optional).

*Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

1 Applicant's unique citation designation number (optional).